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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2834  
Examiner: Mr. Guillermo Perez

In re PATENT APPLICATION of:

Applicant : Jun YAMADA et al

Appln. No. : 09/868,233

Filed : August 20, 2001

For : SEMICONDUCTOR DEVICE AND METHOD  
FOR MANUFACTURING THE SAME

Attorney Ref. : GOT 142NP

INFORMATION  
DISCLOSURE  
STATEMENT

**Attn: Box AF**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

04/28/2003 HGUTEMA1 00000045 09868233

01 FC:1806

180.00 OP

Sir:

This is an information disclosure statement submitted under 37 C.F.R. §1.97 and 1.98, submitted within the time period specified in 37 CFR 37 C.F.R. §1.97(d).

A Form PTO-1449 and copies of the references listed therein are attached. These references were cited in a Supplementary European Search Report on the counterpart European application, and a copy of the Supplementary European Search Report is enclosed to show the relevance of the references.

Since one of the references is written in the Japanese language, an English-language "Patent Abstracts of Japan" summary of the reference is also enclosed to provide further information about the reference.

Although the Supplementary European Search Report identifies four references, only two references are being included in the present Information Disclosure Statement. The reason is that the other two references identified in the Supplementary European Search Report are already of record in this application.

FEE ENCLOSED: \$180.  
Please charge any further  
fee to our Deposit Account  
No. 18-0002


The Supplementary European Search Report was mailed on April 3, 2003. Accordingly, the undersigned attorney states that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the present Information Disclosure Statement.

The \$180 fee specified in 37 C.F.R. §1.17(p) is being submitted concurrently. Should this fee be accidentally missing, however, or should any additional fees be needed, the Commissioner may charge such fees to our Deposit Account number 18-0002.

In view of the foregoing, it is respectfully requested that the Examiner consider the references, and make them of record.

Respectfully submitted,

April 25, 2003  
Date

  
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AW:tlc



<b>FORM PTO-1449</b> <b>INFORMATION DISCLOSURE STATEMENT</b>				Atty. Docket		Application No.	
				GOT 142NP		09/868,233	
				Applicant Jun YAMADA et al			
				Filing Date August 20, 2001		Group 2834	
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document Number	Date	Country	Class	Sub-Class	Translation
	AI	JP 09-084274	3/28/97	JAPAN			Abstract
	AJ	EP 0 564 149 A2	10/6/93	EPO			English
	AK						
	AL						
	AM						
	AN						
<b>OTHER (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AO						
Examiner					Date Considered		
<b>EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.							

